

Attorney Docket No.: 98-120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | |
|-----------------------|-------------------------|---|------------------|-----------------------------------|
| In re Application of: | Jay S. Walker et al. |) | Customer Number: | 22927 |
| For: | SETTLEMENT SYSTEMS AND |) | Examiner: | Not assigned |
| | METHODS WHEREIN A BUYER |) | | |
| | TAKES POSSESSION AT A |) | | |
| | RETAILER OF A PRODUCT |) | | |
| | PURCHASED USING A |) | | |
| | COMMUNICATION NETWORK |) | | |
| Serial No.: | 09/348,566 |) | Group Art Unit: | 2761 |
| Filing Date: | July 7, 1999 |) | Docket No.: | 98-120* |
| | |) | | <i>*please note new docket no</i> |

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Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Notification of Typographical Error Pursuant to MPEP 201.3 and Request For Corrected Filing Receipt under 37 CFR 1.19(h);
2. Copy of Declaration and Power of Attorney as filed;
3. Red-line Mark-up of Filing Receipt; and
4. Postcard.

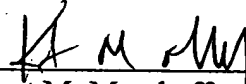
The Assistant Commissioner is hereby authorized to charge the associated filing fee in the amount of \$ 25.00 to Deposit Account No. 50-0271. Order No. 98-120. A duplicate copy of this sheet is attached. The Assistant Commissioner if hereby authorized to charge any additional

fees which may be required for the submission of this paper, or credit any overpayment, to
Deposit Account No. 50-0271. Order No. 98-120. A duplicate copy of this sheet is attached.

January 20, 2000

Date

Respectfully submitted,



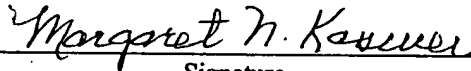
Kurt M. Maschoff
Attorney for Applicants
PTO Reg. No. 38,235
Walker Digital Corporation
One High Ridge Park
Stamford, CT 06905-1326
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(203) 595-8266 (fax)

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on January 20, 2000.

Margaret N. Kaswer

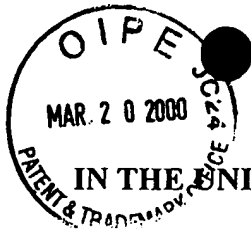
Typed Name of Person Making Deposit



Signature

01/20/2000

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.) Customer Number: 22927
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Washington, DC 20231

NOTIFICATION OF TYPOGRAPHICAL ERROR
PURSUANT TO MPEP 201.03 AND REQUEST FOR CORRECTED FILING
RECEIPT UNDER 37 CFR 1.19 (h)

Applicants recently became aware of a typographical error in the Declaration and Power of Attorney filed August 25, 1999 in the above-identified application. A copy of the Declaration is provided herewith. In particular, a typographical error occurred on page 3 of 6 of the Declaration and Power of Attorney where the first name of the second inventor, Jonathan Otto, should read **Jonathan**.

Applicants authorize the Assistant Commissioner for Patents to charge the associated filing fee of \$ 25.00 under 37 CFR 1.19 (h) to Deposit Account 50-0271 to process a new filing receipt. A red-lined copy of the original filing receipt is attached. Please issue a new filing receipt reflecting the correct spelling of the second inventor, Jonathan Otto. Applicants request that this notification be referenced on the Declaration pursuant to MPEP 201.3.

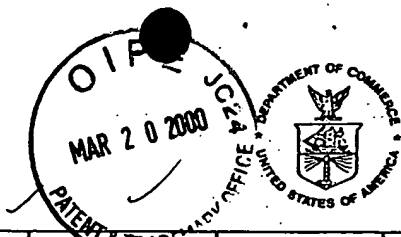
Respectfully submitted,

January 20, 2000

Date

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Attorney for Applicants
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Walker Digital Corporation
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(203) 595-8266 (fax)

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/348,566 | 07/07/99 | 2761 | \$3,094.00 | WD2-98-120 | 37 | 102 | 14 |

DEAN ALDERUCCI
WALKER DIGITAL CORPORATION
ONE HIGH RIDGE PARK
STAMFORD CT 06905-1326

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Applicant(s) JAY S. WALKER, RIDGEFIELD, CT; JONATHAN OTTO, NEW YORK, NY; ANDREW S. VAN LUCHENE, NORWALK, CT; MAGDALENA MIK, GREENWICH, CT; DANIEL E. TEDESCO, NEW CANAAN, CT; IAN LEVITAN, WESTMOUNT, CANADA.

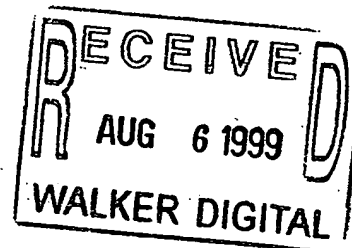
CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 09/337,906 06/22/99
WHICH IS A CIP OF 08/889,503 07/08/97
AND A CIP OF 08/889,319 07/08/97
AND A CIP OF 09/190,744 11/12/98
WHICH IS A CIP OF 08/707,660 09/04/96 PAT 5,794,207
AND A CIP OF 09/083,345 05/22/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/03/99
TITLE

SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK

PRELIMINARY CLASS: 705

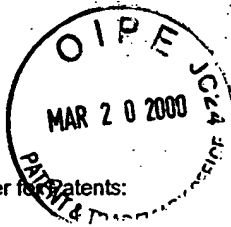


DATA ENTRY BY: OKON, THERESA

TEAM: 03 DATE: 08/03/99



(See reverse for new important information)



Commissioner for Patents:

Date Received:

Applicant: Walker et al.

Serial No.: 09/348,566

Filing Date: July 7, 1999

Title: SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK (98-120)

Sir:

Please acknowledge receipt of the following papers by stamping the date received on this card and returning the same to the addressee.

- Transmittal Letter (in duplicate);
- Notification of Typographical Error and Request for Corrected Filing Receipt;
- Copy of Declaration and Power of Attorney as filed; and
- Red-lined Mark-up of Filing Receipt.

Date Mailed: January 20, 2000

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Commissioner for Patents:

Date Received:

Applicant: Walker et al.

Serial No.: 09/348,566

Filing Date: July 7, 1999

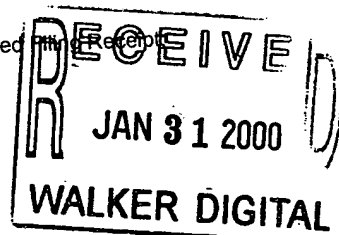
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Date Mailed: January 20, 2000





Jennie R. Martel
Walker Digital Corporation
Intellectual Property Department
One High Ridge Park
Stamford, CT 06905-1326

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231



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| | |) | | |
| Serial No.: | 09/348,566 |) | Group Art Unit: | 2765 |
| | |) | | |
| Filing Date: | July 7, 1999 |) | Docket No.: | 98-120* |
| | |) | | (*Please note new docket number) |

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Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, D.C. 20231

TRANSMITTAL LETTER
 SECOND REQUEST

Dear Sir:

Applicants received a corrected filing receipt from the Patent Office on February 25, 2000. The corrected filing receipt did not reflect the change requested by Applicants on their request dated January 20, 2000. Applicant's resubmit this request for correct filing receipt and ask that the receipt be corrected accordingly.

Applicants hereby submit the following documents for the above-identified patent application:

1. Copies of previous Notification of Typographical Error Pursuant to MPEP 201.3 and Request for Corrected Filing Receipt under 37 CFR 1.19(h) filed on January 20, 2000;
2. Red-lined mark-up of new corrected filing receipt; and
3. Postcard.

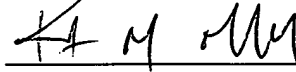
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The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for the submission of this paper, or credit any overpayment, to Deposit Account No. 50-0271. Order No. 98-120. A duplicate copy of this sheet is attached.

March 14, 2000

Date

Respectfully submitted,



Kurt M. Maschoff

Attorney for Applicants

PTO Reg. No. 38,235

Walker Digital Corporation

Five High Ridge Park

Stamford, CT 06905-1326

(203) 461-7020 / phone

(203) 595-8266 / fax

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on March 14, 2000.

Jill Doherty

Typed Name of Person Making Deposit



Signature

3/14/00

Date

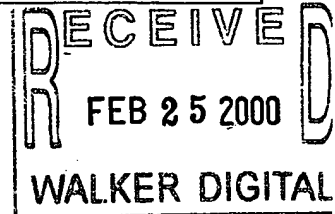
FILING RECEIPT
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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| 09/348,566 | 07/07/99 | 2765 | \$1,612.00 | WD2-98-120 | 37 | 102 | 14 |

022927
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STAMFORD CT 06905



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IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/03/99 ** SMALL ENTITY **
TITLE

SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A
RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK

PRELIMINARY CLASS: 705

| | |
|-----------|---------|
| File No: | 98-120 |
| Attorney: | Kmm |
| Due Date: | 2/25/00 |
| Docketed: | 2/28/00 |

DATA ENTRY BY: MAY, MOLIKI

TEAM: 03 DATE: 02/15/00

|||||

(See reverse for new important information)

Rev'd 2/28/00